## **SALES APPLICATION**

# Barclay Plaza North Owners, Inc. 110-11 72 Ave. Forest Hills, NY 11375

#### **Contact Information:**

Ms. Susan Rubin Transfer Agent Kaled Management Corp. 7001 Brush Hollow Road Ste: 200 Westbury, NY 11590

(516) 876-4800 x 313 Fax (516) 780-8313 Email: Sugar @ Kalad

Email: Susan@Kaled.com

10/23

Bldg. # 308



CORPORATE OFFICE 7001 BRUSH HOLLOW ROAD SUITE 200 WESTBURY, NY 11590 TEL: (516) 876-4800 FAX: (516) 876-6812 WWW.KALED.COM

ASSET MANAGEMENT 757 THIRD AVENUE SUITE 2028 NEW YORK, NY 10017 TEL: (212) 376-5508

EMAIL: INFO@KALED.COM

# IMPORTANT INFORMATION REGARDING YOUR SOCIAL SECURTLY NUMBER

## PROTECTING YOUR PRIVACY

In order to protect your privacy please remove/blackout your social security number from each financial institution document inserted into the application.

- Financial condition (net worth)
- Tax returns
- Personal loans
- Bank statements
  - o IRA
  - o CD'S
  - Savings

The Credit Agency Authorization Form AND Criminal Background Check Forms in the application are the only form that requires your Social Security number. These two forms containing your Social Security number will be shredded in our office as soon as we submit the information to the Agency used to obtain your reports.

If you have any questions please contact the Management Office.

ALL SOCIAL SECURITY NUMBERS SHOULD BE REMOVED/BLOCKED
OUT FROM TAX RETURNS AND ANY OTHER DOCUMENTS.

# PURCHASE APPLICATION 80% Financing

Dear Shareholder,

To process the sale of your apartment, we require the prospective shareholder to submit the following information:

- 1. Purchase Application; including asset and liability.
- 2. Copy of **signed** Contract of Sale.
- 3. Signed receipt of House Rules.
- 4. Completed and **signed** tax returns (including all schedules) and W2 for the past two (2) years. Social security numbers removed
- 5. Pay stubs for the past four (4) pay periods.
- 6. Copies of monthly statements from all bank accounts, brokerage accounts, mutual funds, stocks, and any other financial instruments not listed above, for the past four (4) months.
- 7. A letter of reference from purchaser's bank stating that all accounts are in good standing.
- 8. A letter from purchaser's employer indicating length of employment and base salary.
- 9. Three (3) personal letters of reference, from someone other than family, who has known the purchaser for a minimum of five (5) years.
- 10. If financing, a copy of signed Mortgage Commitment and application.
- 11. A signed Window Guard Rider.
- 12. Lead Paint Disclosure Form. Application will be returned if this form is not completed properly.
- 13. Credit/Criminal Authorization signed.
- 14. Carbon Monoxide affidavit signed and notarized.
- 15. Garage Rider signed.
- 16. Sprinkler Disclosure.
- 17. Purchaser must have evidence of Homeowners insurance at closing.
- 18. Coop Abatement Survey

- \* The board of directors may require additional information
- \* Submit completed packages to: Ms. Susan Rubin c/o Kaled Management Corp., 7001 Brush Hollow Road, Ste: 200 Westbury, NY 11590. Please allow three (3) weeks for the processing of the application and Board review.
- \* All prospective Purchasers and everyone living in the apartment are subject to application review and Board interview and approval. Kaled Management Corp. will contact the prospective purchaser for interview upon receipt of an acceptable credit check and application review. Should a prospective purchaser wish to have an interpreter present at board interview, the Board welcomes such additional individual.
- \* Prospective purchasers should be sure to review the Offering Plan and all amendments in additional to those corporate documents while purchasers, in standard contract of sale form, represent they have read.
- \* Please submit One (1) COLLATED COPY AND ONE (1) ORIGINAL (Totaling Two (2) complete packages).
- \* Please remove your social security number from all documents except credit check authorization.
- \* Please only put the credit authorization in the original package
- \* Incomplete application packages will be returned to the buyer or broker.

### Required Fees: (All fees must be made payable by Certified Check or Money Order)

- \* (Purchaser) Enclose a check in the amount of \$600.00 payable to Kaled Management Corp., for processing fee.
- \* (Purchaser) Enclose a check in the amount of \$150.00 per person payable to Kaled Management Corp for credit report.
- \* (Purchaser) Enclose a check in the amount of \$200.00 payable to Kaled Management Corp., for Recognition Agreement Fee;
- \* (Purchaser) to pay administrative fee in the amount of \$1000.00, payable to Barclay Plaza North Owners Inc.
- \* Purchaser to pay move-in fee of \$500.00 payable to Barclay Plaza North Owners, Inc., of which \$250.00 is non-refundable. The remaining \$250.00 will only be refunded upon complete compliance with the House Rules. Purchaser will be billed for any damages or violations of moving rules. \$500.00 fine if moving rules are broken by either Seller or Purchaser.

#### At closing

- \* (Seller) to pay \$600.00 payable to Kaled Management Corp., for Closing Fee (Stock/Lease) Transfer.
- \* (Seller) Transfer Tax Stamp Fee due at closing from Shareholder of \$.05 per share;
- \* Seller to pay move-out fee of \$500.00 payable to Barclay Plaza North Owners, Inc. of which \$250.00 is non-refundable. The remaining \$250.00 will only be refunded upon complete compliance with the House Rules. Seller will be billed for any damages or violations if moving rules are broken by either Seller or Purchaser
- \* \$75.00 for closing attendance fee payable to Susan Rubin (purchaser)
- \* \$75.00 for closing attendance fee payable to Susan Rubin (seller)

### Purchase Application

Application is herewith submitted for the purchase ofBarclay Plaza North Owners, Inc., and for the right of residency in the submitted for the purchase of	shares of common stock of n apartment #
Seller's Name(s):	
Seller's Attorney's Name:	
Address:	
Telephone Number:	
1. Applicant'sName:	
2. Social Security(last 4 digits):	
3. Home Address:	
4. Home Telephone #:	
5: Applicant Employee	
Present Employer:	-
Business Address:	
Telephone Number:	
Current salary:	
Length of Employment:	
Persons to contact for reference:	Telephone:
6. Co- Applicant Name:	
7. Social Security # (last 4 digits)	
8.Co-Applicant Home Address:	
9.Co-Applicant Home Telephone Number:	AND THE CONTRACT OF THE CONTRA
10. Co-Applicant Employee:	
Present Employer:	<del></del>
Business Address:	

Telephone Number:			-
Current salary:			
Length of Employm			
Persons to contact for	or reference:		Telephone:
11. Estimated Annual Income from	n employment(s	):	
Applicant: \$			
Co-Applicant: \$			
From all other sources: \$			
Total: \$			
12. If Self-Employed please indica	ate the following	g for reference:	
Name of Accountant:			
Address:			
Phone number:			
Income:			
Annual Salary:		Fees/Commissions:	-
Interest:		Dividends:	
Other:			
13. Please list name, relationship an	d age of each pe	erson who will reside	with you in the
apartment: Name	Relationship		Age
		-	
	-	***************************************	
14. Will you live in the apartment as	your primary re	esidence?	
15. When will you move in:			
16. Do you intend to use the apartme			

17. References: List two references
1. Name
Address
2. Name
Address
18. Present Landlord:
Name
Address
Dates of Occupancy: From:to
Rent:
19. Previous Landlord (if at present residence less than five years):  Name  Address  Dates of Occupancy: From:
Please list their names:
21. Schools and colleges attended by applicant, co-applicant, and members of family.  *List class and degree in each case:
22. Address of all additional residences owned or leased:

23	. Applicant's Attorney's Name:
	Address:
	Telephone Number:
24	. Real Estate Agent's Name:
	Address:
	Telephone Number:
25	. Financial (Applicant/Co-Applicant)
	Bank (Personal Account)
	Address:
	Type of account:
	Bank Address:
	Type of account:
26.	If you intend to finance part of the purchase price with a loan, please state lender, amount of loan and monthly payment.
27.	Are you party to any litigation? If so, please state the circumstances.
28.	Have you ever been involved in a non-payment proceeding. If so, state the circumstances
	Are there any tax liens outstanding against you? If so, please state the circumstances.

30. Are there any other liens outstanding against you? If so, please	e state the circumstances.
I declare that I have examined this application and to the best of n correct, and complete. I acknowledge receipt, read, and agree to a Barclay Plaza North Owners, Corp.	ny knowledge, it is true, adhere to the House Rules of
Signature of Applicant:	Date:
Signature of Co-Applicant:	Date:

### YEARLY INCOME AND EXPENSE STATEMENT

<u>Instructions:</u> If the income tax statement you submit with this application is for the <u>prior calendar year</u>, then complete this form for the current calendar year only. <u>If you have not submitted</u> a filed income tax statement for the prior calendar year, please complete two forms; one for the preceding year and one for the current year.

Applicant's Name		AMANUAL PROPERTY OF THE PROPER
INCOME	 EXPENSES	
Salary (or earned income)	\$ Mortgage Payments	\$
Bonus and Commissions	Real Estate Taxes	4
Real Estate Income (Net)	Rent/Co-op/Condo Maintenance	
Share of partnership income (loss)	Loan or Note Payments	
Business Income (Net) Sole Proprietorship	Auto Loan/Lease Payments	
Dividends	Insurance Premiums	
Interest	Tuition Expenses	
Pension (IRA, Keogh)	Charitable Contributions	
Social Security	Medical (unreimbursed)	
Investments (describe)	Alimony, Child Support,	
	7 minory, Cana Support,	
	Living Evnences (food alothing utilities at )	
Other Income (itemize)	Living Expenses (food, clothing, utilities, etc.)  Credit Card Payments	
	Investment Expenses	_
	Pension (IRA, Keogh)	
	 Other Expenses (itemize)	
TOTAL INCOME		
TOTAL INCOME	\$ TOTAL EXPENSES	\$
List any unsatisfied judgments or legal actions per Have you ever gone through bankruptcy or other i		
Date		
	Signature of Applicant	
	Signature of Applicant	

## ASSETS AND LIABILITIES STATEMENT

Applicant's Name_ Statement of Financial Condition as o	f the	day of	
20			
Please Note: Supporting documentation for all asso	ets and liabili	ities is to be attached to this statement. Please use the word "ne	one" where no
amount is to be entered.			
ASSETS	***************************************	LIABILITIES	
Cash in bank (attach bank statements)	\$	Notes Payable	\$
Down payment on contract (if paid)		Mortgages payable	
Securities (Stocks & Bonds - attach statements & schedule F)		Unpaid Real Estate Taxes	
Cash value of life insurance, less any loans		Unpaid Income Taxes	
Investment in own business		Accounts Payable	
Real Estate Owned		Outstanding Credit Card Balances	
Vested Interest in Retirement Fund (include IRAs and 401Ks)		Other Liabilities (itemize)	
Automobile (make and year)			
Loans and Notes Receivable			
Personal Property and Furniture			
Other Assets (itemize)			
TOTAL ASSETS	\$	TOTAL LIABILITIES	\$
			T D
		NET WORTH (excess of assets over liabilities)	\$
Contingent Liabilities (personal guarantees or	\$		
potential liabilities			
TTI 0			
The foregoing statements and details pertaining hereby solemnly declares and corrifice that some	g thereto, bo	oth printed and written, have been carefully read and the	undersigned
nereby solemning declares and certifies that sam	ie is a fuil a	nd correct exhibit of my/our financial condition.	
Date			
		Signature of Applicant	~~~
		organitation represent	
		Signature of Applicant	

### SCHEDULE A - REAL ESTATE OWNED

Location and Type of Property	Title In the Name of	Date Acquired	Cost	Recent Appraised Value	Mortgage Balance	Maturity Date	Monthly Paymer

### SCHEDULE B - NOTES PAYABLE

Amount	Due to	In Name of	Maturity Date	Collateral	Monthl; Paymer

SCHEDULE C - ACCOUNTS PAYABLE (include credit card balances here)

Amount	Due to	In Name Of	Maturity Date	Monthly Paymer

C	$\cap \mathbf{I}$	TT	717	ı T	TT		T
O,	$\cup$ r	11	$\Box oldsymbol{oldsymbol{eta}}$	"	L	C	D

Amount	Туре	Due to	Obligor	Final Maturity/or repayment	Collateral

<sup>\*</sup>including Letters of Credit and Surety Bonds

SCHEDULE E - SCHEDULE OF CASH IN BANKS - INCLUDE CD'S AND MONEY MARKET ACCTS

Name of Bank	Account No.	Balance	
		Butanec	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	***************************************
		\$	
Total - Amount must match amount stated under Assets		S	<del>~~~~</del>

SCHEDULE F - SECURITIES (STOCKS AND BONDS)

Name of Institution	Account No.	( F) 1
	Account No.	Balance

	\$
	\$
	\$
	\$
	\$
	\$
	\$
	\$
Total - Amount must match amount stated under Assets	\$

## SCHEDULE G - RETIREMENT FUNDS - IRAs AND 401Ks

Name of Institution	Account No.	Balance	
		\$	· · · · · · · · · · · · · · · · · · ·
		\$	
		\$	
		\$	
		\$	
		•	
		\$	
		\$	
Total - Amount must match amount stated under Securities		\$	

Re: Sale of Apartment #Address:
CREDIT CHECK AUTHORIZATION
Name:
Date of Birth:
Social Security Number:
Home Address:
In connection with my purchase of property, I authorize the procurement of a credit report of myself. I further authorize all credit agencies, banks, lending institutions and persons to release information they may have about me and release them from any liability and responsibility doing so. This authorization, in original or copy form, shall be valid for this and any future reports that may be requested. Further information may be available upon written request within a reasonable period.
Signature Dated

### Release of Information Authorization

Authorization to obtain Criminal, Credit/Litigation Report
In order to comply with the provision of Section 6.06 (A) of the Federal Fair Credit
Reporting Act, I hereby authorize any individual, company, or institution to release to
Kaled Management Corp. and/or its representative any and all information that they
have concerning any Criminal/Litigation activity.

I hereby release the individual, company or institution and all individuals connected therewith from all liability for any damage whatsoever incurred in furnishing such information.

Print Name:	-	-	Date of Birth:
Signature:		The American Control of the American Control	
Social Security #:		the same of the sa	
Print Name:			Date of Birth:
Signature:		The Control of the Co	
Social Security #:			
Address:			
State:			

	Apartment #
	The undersigned applicant(s) is (are) submitting an application to purchase/sublease the above referenced apartment.
,	Applicant has submitted payment for certain fees including but not limited to fees to check applicants' credit and to process this application.
	Applicant acknowledges that the application to purchase/sublet the apartment may or may not be approved by the Board of Directors of the Cooperative Corporation owning the building in its sole discretion and that if the application is approved or not approved certain costs and expenses will be incurred and the fees described above will not be refunded to the applicants.
t	The applicant(s) releases both the cooperative corporation and the managing agent from any liability for the return of these funds incurred in processing the application, and agrees that in the event the applicant seeks recovery of such fees, the applicants shall be liable for all cost and expenses (including attorney's fees) incurred by the cooperative, transfer agent and/or managing agent.
	Applicant
	Applicant
	Date:

# AFFIDAVIT OF COMPLIANCE WITH CARBON MONOXIDE/SMOKE DETECTOR REQUIREMENT FOR DWELLING

State of New York	County of	SS()
The undersig are the grantor of the located at	ned, being du real property	uly sworn, depose and say under penalty of perjury that they y or of the cooperative corporation owning real property
Street Addres	ss	Unit/Apt.
	Ne	w York,,(the "Premises")  Block Lot
Borough		Block Lot
such manufacture, de Fire Prevention and E  The grantor is Executive Law. (The	ne Premises esign and insignation in compliance signature of	family dwelling, or a cooperative apartment or condominium is an approved and operational carbon monoxide detector of tallation standards as established by the State of New York & Council.  The with Subdivision 5(a) of Section 378 of the New York State at least one grantor is required and must be notarized).  Name of
Shareholder (Type or	Print)	Name of Subtenant (Type or
Print)		
Signature of Share	eholder	Signature of Purchaser
Sworn to before me Thisdate of	20	Sworn to before me Thisdate of20
punishable as a Cliffle 0	i periury undei	knowledge that a willfully false representation is unlawful and are r Article 210 of the Penal Law. oon Monoxide/Smoke Detector Requirement is for informational

### WINDOW GUARDS REQUIRED NOTICE TO OWNER

You are required by law to have window guards installed if child 10 years of age or younger live in your apartment.

Your landlord is required by law to install window guards in your apartment: If you ask him to put in window guards at any time (you need not give reason) If a child 10 years of age or younger lives in your apartment It is a violation of law to refuse, interfere with installation, or remove window guards where required.

CHECK ONE:	
CHILDREN 10 YEARS OF AGE OR YOUNGER LIVE IN MY APA	
NO CHILDREN 10 YEARS OF A YOUNGER LIVE IN MY APARTI	
I WANT WINDOW GUARDS EVI THOUGH I HAVE NO CHILDREI 10 YEARS OF AGE OR YOUNG	N
	SHAREHOLDER (PRINT)
	SHAREHOLDER(SIGNATURE)
	SHAREHOLDER(SIGNATURE)

New York City Department of Health 566-8082

FOR FURTHER INFORMATION CALL: Window Falls Prevention Program

125 Worth Street, Room 222A New York, N.Y. 10013 (212)

Disclosure of Information on Lead-Base	ed Paint and/or Lead-Based Paint Hazards
--	--

### Lead Warning Statement

Every purchaser of any interest in residential real property on which a residential dwelling was built prior to 1978 is notified that such property may present exposure to lead from lead-based paint that may place young children at risk of developing lead poisoning. Lead poisoning in young children may produce permanent neurological damage, including learning disabilities, reduced intelligence quotient, behavioral problems, and impaired memory. Lead poisoning also poses a particular risk to pregnant women. The seller of any interest in residential real property is required to provide the buyer with any information on lead-based paint hazards from risk assessments or inspections in the seller's possession and notify the buyer of any known lead-based paint hazards. A risk assessment or inspection for possible lead-based paint hazards is recommended prior to purchase.

in the seller's possession and for possible lead-based pain	l notify the buyer of an	w known lead-based naint ha	grom risk assessments or inspections zards. A risk assessment or inspection
Seller's Disclosure  (a) Presence of lead-based  (i) Known lead-based (explain).	paint and/or lead-base sed paint and/or lead	ed paint hazards (check (i) o -based paint hazards are pres	r (ii) below): sent in the housing
(i) Seller has prov paint and/or lea	llable to the seller (chided the purchaser wind-based paint hazard	neck (i) or (ii) below): th all available records and resident the housing (list document)	,
Seller has no re hazards in the	ports or records pertationsing.	ining to lead-based paint and	d/or lead-based paint
(d) Purchaser has rec (e) Purchaser has (check (i) (i) received a 10-da or inspection for to (ii) waived the or lead-based pain	eived the pamphlet Proor (ii) below):  y opportunity (or musthe presence of lead-bopportunity to conduct and/or lead-based page 1.	tually agreed upon period) to ased paint and/or lead-based at a risk assessment or inspec	o conduct a risk assessment I paint hazards; or
Agent's Acknowledgment (f) Agent has informe aware of his/her responsibility	d the seller of the sell	ler's obligations under 42 U.	S.C. 4852(d) and is
Certification of Accuracy The following parties have revi information they have provided	ewed the information ab is true and accurate.	pove and certify, to the best of t	heir knowledge, that the
Seller	Date	Seller	Date
Purchaser	Date	Purchaser	Date
Agent	Date	Agent	Date

# THE REAL ESTATE BOARD OF NEW YORK, INC. SPRINKLER DISCLOSURE LEASE RIDER

Pursuant to the New York State Real Property Law, Article 7, Section 231-a, effective December 3, 2014 all residential leases must contain a conspicuous notice as to the existence or non-existence of a Sprinkler System in the Leased Premises.

Name of	buyer(s):					
Lease Pre	emises Address:			***		
Apartmer	nt Number:				(th	ne "Leased
Date of C	losing:				•	Premises")
CHECK O	NE:					
1.	There is <u>NO</u> Ma Premises.	intained a	nd Operati	ve Sprinkler :	System in	the Leased
2.	There is a Main Premises.	tained and	l Operative	Sprinkler Sy	stem in ti	ne Leased
	A. The last date	e on which	the Sprini	kler System v •	was maint	ained and
from a fire extinguish Section 15		ccordance ly cause v further sp	with gene	rally accepted	d standard	
I, the Buye as to the e help me m	gment & Signation, have read the xistence or non- ake an informed state Real Proper	e disclosur existence decision a	or a Sprink about the l	ler System is	haina n-	
Buyer:	Name: Signature:				Date	
	Name: Signature:				Date:	
Seller	Name: Signature				Date	

### Barclay Plaza North Owners Inc.

"WE UNDERSTAND AND ACKNOWLEDGE THAT BARCLAY PLAZA NORTH OWNERS INC. DOES NOT ALLOW PETS AND AT NO TIME DURING OWNERSHIP/SUBLEASING OF THE APARTMENT WILL WE HARBOR ANY PETS IN THE APARTMENT."

Applicant:	Date:
Applicant:	Date:

### Garage Rider

The garage and parking spaces within 110-11 72<sup>nd</sup> Avenue are the property of Barclay Plaza North, Inc. (the "Corporation"). Buyer/Purchaser acknowledges that upon a future sale or transfer of this unit, any parking space assigned to or used by buyer/purchaser shall revert to the Corporation for use as determined by its Board of Directors. Buyer/Purchaser shall make no representations regarding the availability of the parking space upon future sale or transfer of the unit.

Buyer/Purchaser:	D.4
J J	Date:

## BARCLAY PLAZA NORTH OWNERS, INC. – HOUSE RULES

I/We acknowledge receipt of the House Ru agree to adhere to it.	les for the Barclay Plaza Owners, Inc. and
All adults, and children over the age of 12 below. You are also advised to inform your halles, for if they violate them, it will be your levied upon you.	nousekeepers, nannies, etc. of these House
Print Name (s)	Signature (s)
Date:	

### Dear Shareholders:

The New York City Department of Finance requires that management companies provide information of all eligible cooperative Shareholders and condominium Unit Owners in order to receive the NYC real estate tax abatement credits.

Please fill out the attached survey form as best as you can in its entirety by refering to your proprietary lease for your survey answers. This information will only be used to update your records in our system as well as to report to the NYC Department of Finance regarding the residency status of all our shareholders in order for the city to determine eligibility for the co-op tax abatement.

Please note, it is important to complete the survey in it's entirety for us to be able to update your information with NYC Department of Finance.

Please feel free to reach out to us at 516-876-4800 or email us at coopabatement@kaled.com should you require any assistance.

# NYC / NYS RELIEF PROGRAMS

https://www8.tax.ny.gov/STRP/strpStart
Star Abatement

https://www1.nyc.gov/site/finance/benefits/landlords-dhe.page
Disabled Homeowners Exemption

https://www1.nyc.gov/site/finance/benefits/landlords-sche.page
Senior Citizen Homeowners Exemption

https://www1.nyc.gov/site/finance/benefits/landlords-veterans.page
Veterans Homeowners Exemption

These are some of the exemptions available – to see others that you may qualify for visit:

https://www1.nyc.gov/site/finance/benefits/landlords.page

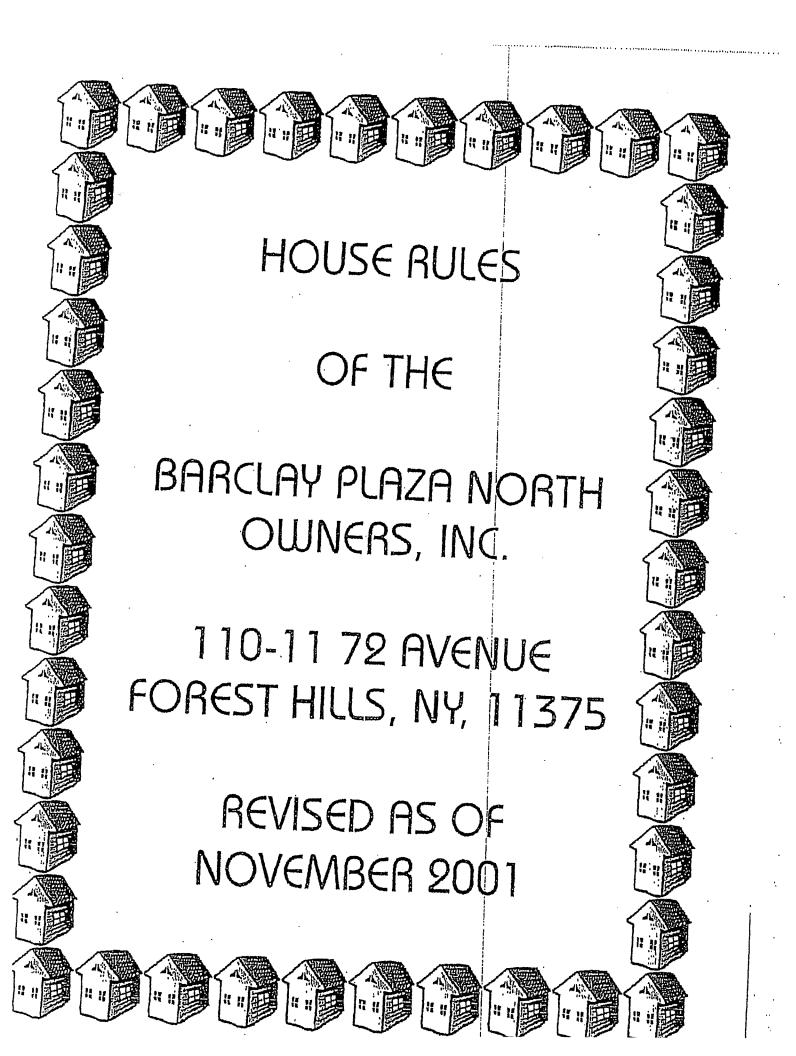
\*\* Please Return in Enclosed Envelope or Email To: coopabatement@kaled.com \*\*

# COOP ABATEMENT RESIDENT VERIFICATION SURVEY

	Name of all shareholders listed on the proprietary lease (separate with commas if multiple)
2.	Do you own more than 3 units within the same property? Yes/No
	Full address with unit number(s)
3.	Is your Unit or at least one of your units your primary residence? Yes / No
4.	Is your unit sponsor owned? Yes/No
5.	Is your unit owed by a trust? Yes / No
	If your unit is owned by a trust, are you the trustee or beneficiary living there with unit being your primary residence? Yes/No
6. j	Please list the social security number or the tax ID number of all shareholders on the proprietary lease:
7. I	lave there been any circumstances or changes in residency since January 1, 2018 that may require updated
iı	information to be submitted to the city? Yes/No
	lease state the reason for this change:
¥	**Please send proof of primary residency together with this survey ** (example: copy of State ID)
ture	:Date:
	iress:

•	
Date:	<u>.</u>
Shareholder Name	
Building Address	
Unit #	
Dear Shareholder:	
This is to inform you that there are variou NYC Department of Finance as well as Ne	us Real Estate Tax Abatements available through ew York State which you <u>may</u> be eligible to receive.
address, providing this is their Primary Re	s abatement is already established for all unit owners at this esidence. In order for <u>your unit</u> to receive this abatement NYC Board or Kaled Management as the managing agent of the building hip Form.
In order for us to do so, we need confirmate primary residence. Please sign below, to a in New York State.	ation from you attesting that this will or will not be used as your acknowledge Primary or Non-Primary Residency for this property
Other Abatements offered by NYC Depart owner. These abatements include Senior ( abatements can be found on NYC Departn	ment of Finance must be completed and submitted by you the Citizen, Veterans, Disability and others. The application for these ment of Finance web site.
State. The application must be completed	gram (School Tax Relief) this must be applied through New York and submitted by you the owner. is abatement is available at New York State.gov web site.
(ALED MANGEMENT AS AGENT	
WILL MANGEMENT WO WOEN!	SHAREHOLDER SIGNATURE PRIMARY ( ) NON-PRIMARY ( )
	DATE

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### TABLE OF CONTENTS

INTRODUCTION
MANAGING AGENT.
BUILDING PERSONNEL.
SAFETY AND EMERGENCY PROCEDURES.
MOVING IN/MOVING OUT PROCEDURES
ELEVATOR7
GUESTS, DELIVERIES, AND SERVICE EMPLOYEES8
NOISE10
COMMON AREAS AND THE BUILDING EXTERIOR
LAUNDRY FACILITIES
TRASH FACILITIES14
PETS16
TERRACES AND PATIOS
GARAGE18
SALE OF APARTMENTS19
SUBLETTING20
RENOVATIONS AND ALTERATIONS21
ONTHLY MAINTENANCE CHARGES
ERVICE REQUEST FORMS24
ISCELLANEOUS
TOLATION OF HOUSE RULES26

### CHAPTER 1 - INTRODUCTION

The Board of Directors of Barclay Plaza North Owners, Inc. (the "Corporation"), pursuant to the By-Laws of the Corporation and the terms of the Proprietary Leases entered into by the Corporation and the shareholders of the Corporation for the apartment units located in the building known as 110-11 72nd Avenue, Forest Hills, New York (the "Premises") have repealed all prior House Rules, and have adopted the following new House

The Proprietary Lease shall be, in all respects, subject to such House Rules which shall be taken to be a part thereof.

These House Rules are binding upon Shareholders and Tenants

It is the aim of the Corporation through these Bouse Rules, to ensure the safety and security of its residents; to protect the financial investment of each shareholder of the Corporation; and to maintain a quality of life which respects everyone's rights but still establishes the standards and the foundation upon which the concept of cooperative living is based. The House Rules should be used in conjunction with the Proprietary Lease and the Corporate By-Laws.

Please read these revised House Rules and future editions carefully and keep them handy for quick reference. It is also extremely important that residents advise their house guests and personal employees of these House Rules.

As stated in the Proprietary Lease, "Failure of a Shareholder/Tenant to comply fully with these House Rules shall constitute a default under the terms of the tenant/shareholder's Proprietary Lease, the remedies for which shall include, but shall not be limited to :

- a) termination of the Proprietary Lease by the Corporation;
- b) reentry and repossession of the apartment and sale of the shares appurtenant thereto;
- c) eviction of the Shareholder/Tenant;
- d) such other remedies provided under the terms of the Proprietary Lease and by law."

Of course it is not our wish to have to take such drastic measures in the event of non-compliance. However, the very essence of cooperative living is the concept of COOPERATION. Failure to cooperate diminishes the quality of life for all residents. First time offenses will result in a warning letter and the stated fine. A second offense will result in a doubling of the fine. A third offense will result in legal action.

-1-

### **Chapter 2 – Managing Agent**

1. The Managing Agency for the Corporation is:

Kaled Management Corporation 7001 Brush Hollow Road Westbury, NY 11590

2. The following executives from the Managing Agent have been assigned to manage and supervise the daily operations of our building and our personnel, Please do not hesitate to contact them should you have a question or a problem:

Account Executive
Director of Operations
Chief Financial Officer
Billing/Collection AR
Accounts Payable
Transfer Agent

Michael Ravner
Peter Lehr
Paul Attinello
Judy Karchensky
Patty Izzo
Susan Rubin

3. The following pages have been provided by Kaled Management Corporation and describe the services they provide to our Corporation.

### Chapter 3 - Building Personnel

1. The Building maintains a staff as follows:

Superintendent Doormen

Ramon Espino Norman Osmani Jose S. Romero Raul Henriquez\*

No member of the Building staff shall be sent of the Building by any Shareholder/Tenant at any time for any purpose. Nor shall any employee be solicited or engaged by a Shareholder/Tenant to do any private service or errand beyond the job description (as determined by the Managing Agent and the Board) during an employees on-duty hours.

### Superintendent

- 2. The Superintendent resides full time in apartment 1H. His hours are Tuesday through Saturday 8:00 AM to 4:00 PM Due to his full-time residential status. He is available for emergencies whenever he is in the Building.
- 3. The Superintendent's telephone number is 718-261-6674. There is an extension of this phone at the lobby desk, which will be answered by the doorman on duty if the Superintendent is not in his apartment.
- 4. The Superintendent is responsible for the maintenance and cleaning of the Building and for the supervision of the doorman. The Superintendent also manages independent contractors and supervises all moves and deliveries.
- 5. The Superintendent is not required to perform any ordinary and routine maintenance and repair work requested by a resident during his regular hours. Except for emergencies, such requests must be addressed to the Superintendent in writing. Verbal messages are not to be left at the desk with the doormen. Service request forms can be found at the lobby desk.
- The Superintendent is guided by policies established by the Board of Directors, the Managing Agent and the Superintendents Union.
- 7. Should you have any questions, recommendations, or problems concerning the Superintendent, please direct immediately to the Property Manager, IN WRITING.

<sup>\*</sup> Also works part-time on Porter duties.

#### Doormen

8. The hours of the doormen are as follows:

	MON	TUES	WED	THURS	FRI	SAT	SUN
Jose S. Romero	4-12	4-12	4-12	4-12	OFF	OFF	4-12
Naman Osmani	OFF	8-4	8-4	8-4	8-4	8-4	OFF
Raul Henriquez	8-4	8-4 porter	OFF	OFF	4-12	4-12	8-12
Ramon Espino	OFF	8-4	8-4	8-4	8-4	8-4	OFF

- 9. The doormen are responsible for the security of the front of the Building. They are instructed to stop and announce all visitors; to supervise the use of the lobby; to record in a logbook the arrival and departure of all personal service employees and all deliveries. They have some cleaning duties as well.
- 10. Doormen are not allowed to run errands or walk dogs for residents. They are not allowed to give assistance outside the lobby and beyond the immediate sidewalk area. They are not allowed to bring any deliveries to any residents.
- 11. Small children are not to be left in the lobby unattended. The doormen are NOT responsible for watching children.
- 12. Important documents or valuable items should not be left in care of the doormen. The doormen are not delivery people; you must come and pick up your own packages.
- 13. The chair in the vestibule area is to be used by the doormen only.
- 14. While the doormen are instructed to be friendly and helpful, they are not to engage in any activity, which will distract them from their responsibilities. The doormen are guided by policies established by the Board of Directors, the managing Agent, and the Doormen's Union.
- 15. Should you have any questions, recommendation or problems concerning the doormen, please direct them immediately to the Superintendent IN WRITING.

### **BUILDING MAINTENANCE**

Mr. Ramon Espino, your superintendent, is responsible for the mechanical upkeep of your building and he directly supervises the repairs and the repairmen. He is to be contacted regarding:

- Specific repairs within your apartment for which the cooperative corporation is responsible.
- 2. Performance of the Doormen.
- 3. Any complaints or comments you wish to make concerning servicemen, general housekeeping, or any infraction of the house rules.

### IN AN EMERGENCY

If an emergency of any kind occurs in your apartment, contact the Superintendent. If an emergency occurs after business hours or over the weekend and if the Superintendent is not available:

Telephone our office at (718-896-4800) our answering service will contact the appropriate persons to assist you, or call the emergency number posted in the lobby or vestibule.

We would like to take this opportunity to tell you how greatly we value our association with your building, and to assure you that the unified effort of all our people is dedicated to providing you with the highest possible level of service during the coming year. At all times we invite and will appreciate your comments on our stewardship of your building.

Very truly yours,

Kaled Management Corp.

## CHAPTER 4 - SAFETY AND EMERGENCY PROCEDURES

- 1. Smoke detectors have been installed in every apartment according to New York City laws. These smoke detectors are the responsibility of the resident and should be tested once a month. All Shareholders/Tenants should keep at least one fully-charged fire extinguisher in their apartments at all times.
- 2. The Building has two fire resistant stairwells located next to the elevator which are marked with exit signs.
- 3. The Corporation carries fire insurance which insures the structure and all public areas, and liability insurance which protects the Corporation against claims resulting from accidents for which the Corporation might be liable. Neither policy covers the personal interests of the individual residents. Residents are urged to secure personal fire and liability insurance to protect themselves and their property.
- 4. The Agents of the Building and any contractor or workman authorized by the Building may enter any apartment at any reasonable hour of the day for emergencies. It is important that the Superintendent have access to all apartments in the event of emergencies; failure to provide the Superintendent with the keys to your apartment may subject you to additional liability due to damage done while gaining access to your apartment.
- 5. In the event of an emergency, it may be necessary to contact a resident who is away from the Building, or a relative or friend of a resident in the event of a health emergency. Therefore, the Board of Directors recommends that emergency phone numbers be filed with the Managing Agent and the Superintendent. If you have any questions about the Emergency Forms, please contact the Superintendent.
- 6. No one is permitted on either the Building roof or the garage roof.

## Chapter 5 - Moving In/Moving Out Procedures

- 1. All moves are coordinated, scheduled and supervised by the Superintendent. The Superintendent will pad the elevator and will also inspect the hallway walls and elevator for damages after the move.
- 2. Moving is allowed only between 9 a.m. and 5 p.m., Monday through Friday, excluding national holidays. Only the Service entrance can be used for all moves. Using the lobby is prohibited.
- 3. A \$500.00 security deposit, \$250.00 of which is refundable, is to be left with the Managing Agent at least five days before the scheduled move. Once the deposit is filled, the Managing Agent will notify the Superintendent to schedule the move. Both before and after the move, the Superintendent will inspect the condition of the elevator and public areas and will report any damage to the Managing Agent. The Managing Agent will keep the deposit or any portion of the deposit to cover (1) damage during the move or (2) extra elevator costs which are incurred if the elevator company must be summoned to assist in moving extra-large pieces of furniture. If neither of the above conditions occur, the entire deposit will be returned by the Managing Agent within two weeks.
- 4. All excess trash created by a move must be taken to the cellar where the Superintendent will secure space for proper disposal. In no case should trash be left in the hallways, stuffed into the compactor chutes or left in the compactor rooms. Failure to comply will result in a \$250.00 fine.

#### CHAPTER 6 - BLEVATOR

- 1. Smoking is not permitted in the elevator. Deliberate disregard of the "No Smoking" notice is an infraction of the New York City Fire Department code, as well as a breach of the Proprietary Lease, and will result in a \$100.00 fine.
- 2. Small children are not allowed in the elevator unattended.
- 3. The walls of the elevator are not to be used to post messages except those from the Board of Directors, the Managing Agent, or the Superintendent.
- 4. Report any malfunctioning of the elevator to the Superintendent.

## CHAPTER 7- GUESTS, DELIVERIES AND SERVICE EMPLOYEES

#### RE: GUEST:

- 1. All visitors will be stopped at the front door and announced. No visitor will be admitted unless resident approval is given. When the front door is unattended, the outside intercom should be used.
- 2. Should you attend to have a guest occupy your apartment for more than one month, you must notify the Board of Directors in writing in order to obtain written consent of the Corporation, as required by the Proprietary Lease. The Board reserves the right to interview any such guest and approve/disapprove such arrangement. The Managing Agent must be provided with the address and telephone number of the shareholder during his or her absence. No furniture can be moved in or out, nor may the guest, in any way, appear to be assuming permanent residence in the Building. No shareholder or tenant may rent out rooms for any purpose. Failure to comply will result in a fine of \$250.00/per month until the unauthorized individual has vacated the premises.

#### RE: DELIVERIES

- 1. The Building has no storage facilities for deliveries. Large mail items and UPS deliveries will be accepted by the Doormen and kept at the front door for the day.
- 2. Packages, food, laundry, etc. should not be delivered unless the resident is at home or has made prior arrangements with the Building Staff or a neighbor to receive these items. The Building Staff and the Corporation assume no financial responsibility for deliveries accepted by the Building Staff.
- 3. Individual pieces of furniture or appliances may be delivered only between 9 a.m. and 5 p.m., Monday through Saturday, excluding national holidays. The Superintendent must be notified of such deliveries in advance and in writing. The Superintendent will supervise the delivery and inspect the elevator and public hallways for damage both before and after delivery. No deliveries will be allowed through the Lobby. Large cartons must be broken down and be neatly disposed of in the designated areas in the Cellar, Failure to comply will result in a \$250.00 fine.
- 4. All deliveries will be logged in the book at the front door.

### RE: SERVICE EMPLOYEES:

The Superintendent must be notified in writing of the names, address, and the
working hours of regular service employees, such as housekeepers, baby sitters, etc.,
and/or any other persons who have keys to the Building or to a resident's apartment.

A listing of all authorized personnel service employees will be maintained by the Superintendent. Those without written authorization will not be admitted.

2. All other service and/or tradespeople must be announced by the doorman and granted permission to enter by a resident and will be logged in the logbook at the front door.

### CHAPTER 8 - NOISE

- 1. No resident shall make or permit any noise which will interfere with the rights, comfort, or convenience of other residents. Consider your neighbors when using a television, stereo, musical instrument, or appliance (dishwasher, vacuum cleaner, etc.). Particular consideration should be given between the hours of 10:00 p.m. and 8 a.m. Special care should also be given to minimizing noise levels on terraces and all common areas, including hallways, stairwells, courtyard, lobby and Building entrance.
- 2. Installation or repair work involving noise shall be permitted only between the hours of 9 a.m. and 5 p.m. Monday through Friday and between the hours of 10 a.m. and 5 p.m. Saturday, excluding national holidays.
- 3. Unless expressly authorized by the Board of Directors in writing, the floors of each apartment must be covered with rugs or carpeting or equally efficient noise-reducing material, to the extent of at least 80% of the floor area of each room, except kitchens, bathrooms, closets and foyers.
- 4. Extra padding should be used under exercise machines, floor speakers, or any other apparatus that emits a constant noise.

## CHAPTER 9 - COMMON AREAS AND THE BUILDING EXTERIOR

- 1. The lobby area is for residents to meet their guests. It is not a place to entertain them. Loitering is prohibited. To minimize congestion and maximize security at the front door, the Doorman's area shall not be used as a place to congregate or for children to play.
- 2. No patient of any doctor who has offices in the Building shall be permitted to wait in the lobby.
- 1. Any violation of New York City Fire Department regulations is the responsibility of individual residents. A violation will result in a \$250.00 fine.
  - (a) The common halls and stairways of the building must not be obstructed or used for any purpose other than entering or leaving the apartments or the Building. Tricycles, bicycles, baby carriages, or similar vehicles, luggage, laundry, umbrellas, footwear, doormats, etc. are not to be left in any common areas of the Building.
  - (b) Fire escapes shall not be obstructed in any way.
  - (c) No article shall be hung or shaken from the doors. windows, terraces or fire escapes, or placed upon the window sills or fire escapes.
  - (d) All apartment doors must be closed at all times, except when entering or leaving the apartment.
- 4. Children are not permitted to play in the lobby, common halls, stairways, fire escapes, or elevators. Children must always be accompanied by an adult when in the common areas and must never be left unattended. Employees of the building are not to accompany or attend to any children. Shareholders/all House Rules and are subject to a \$100.00 fine per infraction.
- Use of the courtyard adjacent to the building will be restricted to the hours of 8:00 AM to 10:00 PM. No one will be permitted into the courtyard area or around the courtyard for monitoring the courtyard and it's outside perimeter after mattended children are allowed in the courtyard. No one who is not a resident will be allowed in the courtyard unless are subject to a \$250.00 fine.

- 6. No common areas shall be decorated or furnished in any manner without the prior consent of the Board of Directors.
- 7. No awning, window air-conditioning unit or ventilator shall be installed without prior written approval of the Managing Agent. Nor shall anything be projected out of any window of the Building without similar approval.
- 8. No sign, notice, advertisement, illumination, etc. shall be inscribed or exposed on any window or other part of the Building without the prior written approval of the Managing Agent.
- 9. No radio or television aerial shall be attached to or hung from the exterior of the Building without the prior written approval of the Managing Agent.
- 10. No birds or animals shall be fed from any window sill, terrace, fire escape, patio, courtyard, or any other common area of the Building, or sidewalk or street adjacent to the Building.
- In the case of neglect, cleaning may be done by the Corporation, which will have the right by its officers or authorized agent, to enter the apartment for this purpose and to charge the cost of cleaning to the resident.
- 12. New York City law requires window guards on each window in an apartment where a child under the age of 10 resides unless the adult resident waives this requirement. If you have young children, contact the Managing Agent to arrange installation. If you do not wish window guards, you are required to sign a waiver to that effect for the Corporation's records.
- 13. Smoking or the carrying of a lit or smoldering cigarette, cigar, pipe, or other smoking material is prohibited in all common areas of the Building. This includes hallways, stairwells, elevators, laundry room, garage, etc. Failure to comply will result in a \$100.00 fine.

### CHAPTER 10 - LAUNDRY FACILITIES

- 1. The laundry room is located on the basement level of the Building and is for the use of residents only.
- 2. The laundry room may only be used between the hours of 8 a.m. and 11 p.m.
- 3. Take special care not to leave your laundry in the washer or dryer once a cycle is completed. There are many people in the Building and the laundry room must serve all of us.
- 4. The equipment in the laundry room is provided and maintained by a private concessionaire. Machine instructions are on the machines.
- 5. The laundry room itself is maintained by our Building Staff.
- 6. If a machine is not working, or if you lose money, notify the Superintendent.
- 7. The large sink is provided for residents who need to hand-ringe or hand-wash items which cannot be handled by the machines. Keep this area clean: Do not use the sink for washing painting tools, dyeing, bathing animals, etc.
- 8. As a courtesy to your fellow residents, be sure to rinse the filters from the washing machines when the cycle is complete.
- 9. Smoking is prohibited in the Laundry Room.

## CHAPTER 11 - TRASH FACILITIES

- 1. This Building is equipped with a trash compactor, located in the cellar. Compactor closets, equipped with chutes to the cellar compactor are on each floor. Signs with instructions for the proper use of the compactor are on the door of each closet.
- 2. As a courtesy to those who share walls with the compactor chute, these chutes are to be used only between 8 a.m. and 11 p.m.
- 3. The following rules shall be observed with respect to compactor equipment;
  - a, All garbage (with the exception of those items listed in sections c and d below) shall be securely wrapped or bagged in small packages sized to fit easily into the chute. Make sure all garbage goes down the chute.
  - b. Debris should be completely drip-free before it leaves the apartment and carried to the compactor closet in a careful manner in a drip-proof container. DO NOT leave garbage on the closet floor!
  - and placed in the appropriate recycle bin. DO NOT put any items on the closet floor.
  - d. No cartons, boxes, crates, sticks of wood, newspapers etc. shall be stuffed into the chute. Newspapers and magazines should be placed in the paper recycle bin. Bulky items such as cartons, boxes, etc. must be taken to the cellar. Contact the Superintendent if you need assistance.
  - containing napthalene, camphor balls or flakes, floor scrapings, plastic wrapping or covers, oil soaked rags, empty paint or aerosol cans or any other inflammable, explosive, highly combustible substances or lighted cigarettes or cigar stubs be thrown in the compactor chute.
  - Vacuum cleaner bags must never be emptied into the chute. Dirt, dust. etc. must be wrapped in a securely tied bag and then placed in the chute.
  - g. All diapers must be placed in a tightly sealed bag before being thrown down the chute.

- 4. The Superintendent should be notified of any compactor closet which is not being kept in a clean, neat manner or of any problems with the compactor, the chute or the closet.
- 5. Any Shareholder/Tenant who fails to comply with these provisions will be subject to a \$250.00 fine per infraction.

#### CHAPTER 12 - PETS

- 1. No animal may be kept or harbored in the Building without specific permission in writing from the Board of Directors. Any resident who has kept a pet prior to the adoption of these House Rules is permitted to keep the pet. Additional pets shall not be permitted without specific permission in writing from the Board of Directors.
- Pets are not permitted in any common areas of the Building including the lobby, halls, stairways, elevators, etc., unless carried or on a leash.
- The resident is responsible for insuring that such a pet does not become a nuisance to other residents. Permission to maintain a pet may be revoked if such a pet becomes a nuisance or danger.
- Any damage caused by a pet is the financial and legal responsibility of the resident. A fine of \$250.00 will be imposed and it will be the responsibility of the resident to clean the mess, and repair or replace any damaged property.
- 5. Permission to keep or harbor an animal is subject to all applicable City and State laws.

## CHAPTER 13 - TERRACES AND PATIOS

- 1. Residents whose apartments have access to individual terraces or patios are responsible for the upkeep and maintenance of these areas.
- 2. Terraces and patios are to be kept clear from debris at all times and kept in an orderly manner.
- 1. Drains are to be kept clear and free from flooding.
- 4. Plants should be contained in boxes (or wood lined with metal or other material impervious to dampness) which stand on supports at least two inches from the terrace, balcony, or roof surface, and if adjoining a wall, at least three inches from the wall. It is the responsibility of the resident to operating condition.
- 5. Terraces should not be confused with fire escape balconies which are to be kept clear of all items at all times.

### Chapter 14 - Garage

- 1. All cars must be registered with the Managing Agent. No more than two cars can be registered to one spot.
- 2. Guests are permitted to park in an owner's spot upon notification of the doorman. Guests are Not allowed to double up behind an owner's spot.
- 3. There is absolutely No Parking allowed in any area within the garage that is not an assigned and numbered parking space. At no time should any car be parked along the wall or along a column. No car belonging to a tenant should be parked immediately outside the garage door or at the bottom of the driveway ramp.
- 4. Illegally parked cars will be defined as those not properly registered, or not parked in an official spot. Illegally parked cars will find an illegal parking notice on the windshield. The license plate and model will be noted; and the owner will be charged a penalty of \$100.00 per day. A second Illegal parking notice will result in the attachment of an adhesive sticker to the driver's side window Plus the daily ponalty. A third violation will result in towing.
- 5. Subletting of garage spots must be approved by the Board of Directors. Sublets will be permitted only to residents of the building.

### CHAPTER 15- SALE OF APARTMENTS

- 1. The Board of Directors shall, subject to the terms of the Proprietary Lease, have the sole authority to consider prospective purchasers of apartments. The Board of Directors shall be free in its discretion to approve or disapprove any application for no reason or for any reason. Determinations of the Board of Directors shall in all cases, be final and binding.
- 2. A shareholder wishing to sell his apartment shall notify the Managing Agent in writing of his intent to sell, personally delivered or sent certified mail or registered mail, to the Managing Agent as soon as a contract of sale has been entered into.
- 3. Upon receipt of the notice to sell, the Managing Agent will forward to the shareholder a Purchase Application and all required documents and attachments that are necessary for the prospective purchaser to fill out and/or provide.
- A copy of these House Rules will accompany every Purchase Application and all purchasers must acknowledge receipt of same.
- 5. The Board of Directors will only approve prospective purchasers who intend to establish residence in their apartment. No prospective purchaser who intends to use their unit for investment purposes will be approved.
- 6. Maximum number of occupants allowed in apartments:

1-Bedroom: 3 persons 2-Bedroom: 4 persons 3-Bedroom: 6 persons

Any shareholder who falsely states the number of occupants who will live in any unit will be subject to immediate eviction.

7. The Board of Directors serves the right to impose a \$500.00 penalty upon any Shareholder for attempting to transfer or sell shares without Board approval.

### CHAPTER 16- SUBLETTING

- 1. The Board of Directors shall, subject to the terms of the Proprietary Lease, have sole authority to consider applications to sublet apartments by shareholders. Determination of the Board of Directors shall, in all cases, be final and binding.
- 2. A shareholder wishing to sublet his apartment shall notify the Managing Agent, in writing, of his intent to sublet, personally delivered or sent certified or registered mail, no later than 90 days prior to the commencement date of the proposed sublease. The notice shall state the proposed commencement date.
- 3. Upon receipt of the notice to sublet, the Managing Agent shall forward to the shareholder a sublet application containing all required documents and attachments that are necessary for the shareholder and prospective purchaser to fill out and/or provide.
- Shareholders are allowed to sublet their apartment for no more than five years. Sublease terms shall not exceed 1 year. A request to renew the sublease (for the same tenant) must be submitted to the Managing Agent 2 months prior to the expiration of the current sublease. Shareholders will be assessed a fee of \$250.00 for each sub-lease, which will be due upon approval of the sub-tenant by the Board of Directors In addition, a sublet fee of 10% of the monthly maintenance will be charged to the shareholder, and such charge will appear on the monthly maintenance bill.
- 5. All subtenants will be given a copy of these House Rules and must acknowledge receipt of same. The Board of Directors reserves the right to review all subleases 6 months after it commences and. In its sole discretion, may cancel the sublease if they find that the subtenant is in violation of the House Rules.
- 6. In the event the Shareholder is in arrears for more than 2 months on the payment of the monthly maintenance, the Board will direct the Managing Agent to collect the rent directly from the subtenant and apply it to the Shareholders arrearages. This action does not waive the right of the Corporation to take appropriate legal action against the Shareholder.

## CHAPTER 17 - RENOVATIONS AND ALTERATIONS

Any Shareholder who wishes to perform any renovations/alterations in their apartment MUST observe the following procedures PRIOR to having any work done:

- 1. Contact the Managing Agent to request a renovation/alteration agreement;
- 2. Submit plans for proposed work accompanied by written specifications:
- 3. Submit a Certificate of Insurance maming the Corporation, Managing Agent and contractor performing the work in the minimum amount of \$1,000,000.00 showing coverage as specified for any damage/liability sustained to the premises.
- 4. Shareholder must provide the Managing Agent with the name(s), addressee(s) and professional license number(s) of the contractor(s) whom they propose to retain.
- 5. After submission of all of the above, the plans will be reviewed by the Managing Agent and the Board of Directors WORK MAY NOT BE PERFORMED PRIOR TO RECEIVING THE WRITTEN CONSENT OF THE BOARD OF DIRECTORS.

After receiving the written consent of the Board of Directors, the Shareholder must adhere to the following procedures and requirements:

- 1. Work can only be performed Monday through Friday between the hours of 9 a.m. and 5 p.m.
- 2. Workers are to remove all debris on a daily basis and keep all common areas broom clean.
- 3. The Shareholder assumes all responsibility for any damage to public areas and for repairs or replacement.
- 4. Contractors must use the Service Entrance when they bring materials into the Building. No materials may be brought through the Lobby.
- 5. No demolition-type power tools such as electric hammers will be permitted at any time. This is to prevent possible damage to the building.

- 6. No renovations/alterations requiring an amendment of the Building's Certificate of Occupancy will be permitted.
- 7. Any Shareholder who proceeds with renovations/
  alterations without prior approval will be fined
  \$5500.00. In addition, the Shareholder may be
  required to remove any or all of the
  renovations/alterations and bring the apartment
  back to its original condition.

### CHAPTER 18 - MONTHLY MAINTENANCE CHARGES

- 1. The Proprietary Lease requires that monthly maintenance charges be paid in full on the 1st of each month. A late fee of 10% of the monthly maintenance will be charged for any month's payment which is postmarked after the 15th of the month. The late fee will appear on the next month's maintenance bill.
- 2. Maintenance checks are NOT to be left with the Superintendent or any other building employee. All checks must be sent via mail.
- 3. There will be a \$50.00 bounced check fee imposed for any checks that are returned by the bank for ANY reason. The fee will appear on the next month's maintenance bill.

## CHAPTER 19 - SHRVICE REQUEST FORMS

- 1. A Shareholder/Tenant is required to submit a completed Service Request Form if they want any repair work done in their apartment. Service Request Forms are available at the lobby desk.
- 2. Work will be scheduled on an emergency basis, and depending on the type of work, the Shareholder/Tenant will be billed (see below). Billable jobs will be charged at the rate of \$15.00 per hour with a one-hour minimum.

WHO PAYS - If the Shareholder/Tenant can see it (i.e., a leaky faucet), the responsibility is theirs. If the Shareholder cannot see it (i.e., leaky pipes within the wall), the responsibility is the building's.

The building staff is NOT required to do any non-repair work. Examples of this would be washing of windows, cleaning of air-conditioners, etc. However, if you would like such work to be performed, you must also fill out a Service Request Form and the charge for such services will appear on your next maintenance statement.

#### CHAPTER 20 - MISCELLANEOUS

- No group tour or exhibition of any apartment of its contents shall be conducted, nor shall any auction sale be held without the prior written consent of the Board of Directors or the Managing Agent.
- 2. The practice of an "open house" showing of an apartment for sale is not permitted. The Superintendent should be notified in writing of the names and addresses of any real estate brokers who have keys to a shareholder's apartment. Only authorized brokers will be admitted into the Building when the shareholder is not present.
- 3. The Corporation is responsible for the maintenance of the Eudlding structure including principle water, gas and steam pipes, drain pipes, and electrical conduits. If repairs are necessary to any of these, the resident shall notify the Superintendent by completing a Service Request Form available at the front desk.
- i. The shareholder is responsible for the maintenance of the interior of the apartment, including the walls! The resident may also be responsible for damage caused to other apartments by leakage or overflow of water or gas from your apartment from any pipe, basin, tub, or other equipment within the apartment. Individual room temperatures are not to be regulated by adjusting regulator valves. Water damage may result unless radiator valves are either completely on or completely off. It is imperative that residents do not make any adjustments to the regulator valves. Service Request Forms may be used to request that the Superintendent make such adjustments.
- 5. The Agents of the Building, and any contractor or workman authorized by the Building, may enter any apartment at any reasonable hour of the day after giving one day's notice for the purpose of inspecting the apartment for the control of vermin, insects or other pests.
- 6. Shareholders/Tenants may not install or maintain a washing machine or clothes dryer in any apartment unit. Nor shall they install any heavy or uncommon appliance which, in the sole discretion of the Managing Agent and the Board of Directors, shall overburden the electric, gas, or plumbing lines of the Building.
- 7. No shareholder, resident or other person may enter or go through the Building for the purpose of canvassing or distributing handbills, advertisements or other materials without prior approval from the Board of Directors. (Solicitations for Girl Scout cookies, Trick-Or-Treating, school fundraising, etc. are allowed!)

### CHAPTER 21 - VIOLATION OF HOUSE RULES

The following procedure will be followed for any and all violations of the House Rules; this applies to Shareholders and Tenants allke.

First Violation - A letter from Management requesting that the

violation be corrected and the appropriate fine will be

Imposed.

Second Violation - The fine doubles and a letter from Management is

issued advising of legal action for any additional

violations.

Third Violation - The Corporation's attorney will send a letter advising

of imminent legal action, i.e. eviction.

Fallure to pay a fine will result in immediate legal action.



700; BRUSH HOLLOW ROAD WESTBURY, NY 11590 TEL: [516] 076-4800 FAX: [516] 076-8812 E-MAIL: INFO@KALEO.COM

February 17, 2004

Shareholder Barclay Plaza North Owners, Inc. 110-1172<sup>nd</sup> Avenue Forest Hills, NY 11375

re: Move-In and Move-Out Fee

Dear Shareholder:

After much deliberation, the Board of Directors Barciay Plaza North Owners, Inc. has agreed to implement a new policy. In an effort to increase revenue for the well-being of the building, the Board of Directors has voted and agreed to this new policy. The new policy in effect requires a \$250,00 non-refundable move-in and move-out fee.

Please be advised that effective March 1, 2004, Barolay Plaza North Owners, Inc. requires that all residents, including existing shareholders, new applicants and tenants/subtenants, who are moving into the building, submit a fee of \$500.00. Once the resident(s) have moved in and the common areas have been inspected for damages, the resident(s) will receive back \$250.00, provided no damage was caused at the time of the move-in. The remaining \$250.00 is non-refundable.

In addition, the same policy will be implemented effective March 1, 2004 pertaining to the move-out procedure. The Board of Directors requires that a \$500 00 fee be submitted by all resident shareholders and subtenants who are moving out of the building. A refundable \$250.00 fee will be returned upon inspection of the building and after ascertaining that no damage was sustained to the building as a result of the move. The remaining \$250.00 is a non-refundable fee.

Thank you for your concern in this matter.

Respectfully,

Kaled Management Corp.

November 7, 2005

Dear Barclay Plaza North Shareholders:

Due to sales of a number of apartments over the past few years, there has been a great increase in demand for parking spaces in the garage of our building. In order to fairly distribute these spaces, the Board of Directors has approved a Resolution formalizing the parking policy at Barclay Plaza North. (A copy of the Resolution is enclosed with this letter.)

Please be assured that the Board of Directors has had extensive discussions regarding this matter and has carefully considered all of the competing interests of our shareholders in adopting this Resolution. Specifically, the Board carefully considered the parking policy that has been used to date in the building and the promises made to current garage users as well as the need to insure that parking spaces will become available for shareholders on the waiting list.

The main points of the Resolution are as follows:

- (i) All shareholders who are currently assigned a parking space will be permitted to use that space for as long as they own their apartments. These same shareholders will also be permitted to transfer their parking space to the buyer of their apartment when they sell it. This transfer of a parking space can only be done one time all subsequent buyers will be assigned parking spaces pursuant to the waiting list. (Parking spaces assigned to Sponsor apartments may not be transferred to a buyer upon a sale.)
- (ii) All others who want a parking space will be subject to the waiting list. Once a space becomes available, the next person in line on the waiting list will receive the space.
- (iii) No shareholder may be assigned two parking spaces unless everyone on the waiting list has received at least one space. (This does not apply to any shareholders who are already assigned two spaces.)

The reason that shareholders who currently have spaces are given the one-time permission to transfer their spaces to a buyer is that these shareholders were advised that they would have this permission when they were first given use of these spaces.

The reason that the right of transfer must be on a one-time basis is that there will eventually be no parking spaces left for shareholders on the waiting list if every shareholder is permitted to transfer a parking space to each subsequent buyer of their apartment. There are only 38 parking spaces available for 63 apartments. If parking spaces continue to be transferred as if they are "attached" to certain apartments, those apartments will become more valuable than the others, creating an imbalance in the per share value of the cooperative shares. Eventually, this could jeopardize the financial stability of the cooperative corporation.

After much discussion and debate, the Board of Directors has determined that the parking policy that has been adopted is a fair and reasonable way to allocate the limited number of parking spaces available in the building.

We thank you for your cooperation and understanding in this matter.

The Board of Directors, Barclay Plaza North, Inc.

## RESOLUTION OF THE BOARD OF DIRECTORS BARCLAY PLAZA NORTH, INC.

WHEREAS, the cooperative corporation Barclay Plaza North, Inc. (the "Corporation") owns and maintains garage space in the basement level of the Corporation's premises at 110-11 72<sup>nd</sup> Avenue, Forest Hills, New York; and

WHEREAS, the Board of Directors of the Corporation has a fiduciary responsibility to promulgate rules to protect shareholders' investments in the property and to secure the long term financial stability of the Corporation; and

WHEREAS, there is a need to formalize the policy by which parking spaces are allocated in the Corporation's garage to provide a fair and equitable distribution of such spaces and taking into account the Corporation's past policies and future interests in this regard;

### NOW THEREFORE, BE IT RESOLVED THAT

1) Shareholders who have been assigned use of a parking space in the Corporation's basement garage as of the date of this Resolution (except subject spaces or spaces assigned to Sponsor apartments) shall be permitted to use such garage space, subject to payment of a specified monthly fee and in compliance with Corporation rules. In addition, upon sale of such shareholders' apartment, the shareholder(s) shall be permitted to transfer the parking space to the buyer. Only one parking space may be transferred upon sale of an apartment.

2) All other shareholders and residents of the Corporation who are assigned use of a parking space after the date of this Resolution shall be assigned parking spaces in the basement garage subject to their position on a waiting list. The waiting list shall be on a "first come, first served" basis. All shareholders shall be permitted to see an updated copy of the waiting list upon request.

3) Upon sale of a Sponsor apartment, any parking space assigned to such unit shall become available to be assigned pursuant to the waiting list.

4) No shareholder (or joint shareholders in one apartment) shall be permitted the use of two parking spaces unless all shareholders on the waiting list have been granted the use of one parking space. (This provision shall not apply to any shareholders who have already been assigned two spaces as of the date of this Resolution.)

The foregoing Resolution was adopted by the Board of Directors of Barolay Plaza North, Inc. at a duly held meeting of the Board on August 16, 2005.

The Board of Directors, Barclay Plaza North, Inc.

## RESOLUTION OF BARCLAY PLAZA NORTH OWNERS INC.

The undersigned hereby certifies that the following resolution was adopted by the Board of Directors of Barclay Plaza North Owners Inc. at a meeting held on Tuesday, January 13, 2015 and is hereby resolved that the House Rules be amended as follows:

## "Barclay Noise/Carpeting|Resolution

House rule Chapter 8.3 states that 80% of the floors must be covered with rugs or carpeting or equally efficient noise-reducing material (not including kitchens, bathrooms, closets or foyers).

If you receive a carpet inspection letter from management you have 5 business days to allow access for inspection. Failure to set up inspection will result in a fine of \$100 per month.

During inspection, if the shareholder is found to be deficient in having 80% of floor carpeted, management will give the shareholder 30 days to comply. Failure to comply within 30 days will result in a fine of \$100 per month.

After 3 months of non-compliance, the fines will continue and the matter will be sent over to the building's attorney to resolve. Any attorney expenses will be the responsibility of the shareholder not in compliance with the house rules.

Residents must also abide by House Rule Chapter 8.1 regarding noise between the hours of 10:00 pm. and 8:00 am. Failure to comply may result in a fine of \$100."

BARCLAY PLAZA, NORTH

Stephen Fink

State of New York County of Queeno INCS 564

2015 On the 28 day of Jan wary 2014 before me personally came Stephen Fink, to me known, who being by me duly sworn, did depose and say that he resides at 110-11 72nd Avenue Forest Hills, NY 11375 that he is the President of the Barclay Plaza North Owners Inc. a New York Corporation, the corporation described in and which executed the foregoing resolution; that he knows the seal of the said corporation and that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; and that he signed his name thereto

Susan M. Rubin Notary Public, State of New York No. 01RU5046858 Qualified in Suffolk County Commission Expires July 17, 20\_

### RESOLUTION OF Barclay Plaza North Owners Inc.

The undersigned hereby certifies that the following resolution was adopted by the Board of Directors of Barclay Plaza North Owners Inc. at a meeting held on august 14, 2018.

"In accordance with New York City's newly enacted Local Law 147/2017 please be advised the Board of Directors has approved the attached no smoking policy and it has been added to the House Rules""

The Board has unanimously approved this resolution.

Barclay Plaza North Owners Inc.

State of New York ) County of Queens )

On the 14th day of August 2018 before me personally came Billy Choi, to me known, who being by me duly sworn, did depose and say that he resides at 110-11 72nd Ave. Forest Hills NY 11375 that he is the Secretary of Barclay Plaza North Owners Inc, a New York Corporation, the corporation described in and which executed the foregoing resolution; that he knows the seal of the said corporation and that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said corporation; and that he signed his name thereto by like order.

Notary Public

SUSAN M. RUBIN Notary Friday July of Haw York

No. 19 % FOR BOARS

Qualified in Suffolk County

Commission Expires July 17, 20 4

## NOTICE DISCLOSING TENANTS' RIGHTS TO REASONABLE ACCOMMODATIONS FOR PERSONS WITH DISABILITIES

## Reasonable Accommodations

The New York State Human Rights Law requires housing providers to make reasonable accommodations or modifications to a building or living space to meet the needs of people with disabilities. For example, if you have a physical, mental, or medical impairment, you can ask your housing provider to make the common areas of your building accessible, or to change certain policies to meet your needs.

To request a reasonable accommodation, you should contact your property manager by calling 516-876-4800, or by e-mailing info@kaled.com. You will need to show your housing provider that you have a disability or health problem that interferes with your use of housing, and that your request for accommodation may be necessary to provide you equal access and opportunity to use and enjoy your housing or the amenities and services normally offered by your housing provider.

If you believe that you have been denied a reasonable accommodation for your disability, or that you were denied housing or retaliated against because you requested a reasonable accommodation, you can file a complaint with the New York State Division of Human Rights as described at the end of this notice.

Specifically, if you have a physical, mental, or medical impairment, you can request:

- Permission to change the interior of your housing unit to make it accessible (however, you are required to pay for these modifications, and in the case of a rental your housing provider may require that you restore the unit to its original condition when you move out);
- Changes to your housing provider's rules, policies, practices, or services;
- Changes to common areas of the building so you have an equal opportunity to use the building. The New York State Human Rights Law requires housing providers to pay for reasonable modifications to common use areas.

Examples of reasonable modifications and accommodations that may be requested under the New York State Human Rights Law include:

- If you have a mobility impairment, your housing provider may be required to provide you with a ramp or other reasonable means to permit you to enter and exit the building.
- If your doctor provides documentation that having an animal will assist with your disability, you should be permitted to have the animal in your home despite a "no pet" rule.
- If you need grab bars in your bathroom, you can request permission to install them at your own expense. If your housing was built for first occupancy after March 13, 1991 and the walls need to be reinforced for grab bars, your housing provider must pay for that to be done.
- If you have an impairment that requires a parking space close to your unit, you can request your housing provider to provide you with that parking space, or place you at the top of a waiting list if no adjacent spot is available.
- If you have a visual impairment and require printed notices in an alternative format such as large print font, or need notices to be made available to you electronically, you can request that accommodation from your landlord.

## Required Accessibility Standards

All buildings constructed for use after March 13, 1991, are required to meet the following

- Public and common areas must be readily accessible to and usable by persons with
- All doors must be sufficiently wide to allow passage by persons in wheelchairs; and
- All multi-family buildings must contain accessible passageways, fixtures, outlets, thermostats, bathrooms, and kitchens.

If you believe that your building does not meet the required accessibility standards, you can file a complaint with the New York State Division of Human Rights.

### How to File a Complaint

A complaint must be filed with the Division within one year of the alleged discriminatory act. You can find more information on your rights, and on the procedures for filing a complaint, by going to www.dhr.ny.gov, or by calling 1-888-392-3644 with questions about your rights. You can obtain a complaint form on the website, or one can be e-mailed or mailed to you. You can also call or e-mail a Division regional office. The regional offices are

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### Barclay Plaza North Owners Inc.

110-11 72<sup>nd</sup> Avenue Forest Hills, NY 11375

August 1, 2023

#### Dear Shareholders/Residents:

Barclay Plaza North Owners Inc. is hereby giving notice to all residents and shareholders of the Co-Op's smoking policy pursuant to New York City's newly enacted Local Law 147/2017 which policy is in accord with the existing NYC 2002 Smoke Free Air Act (the "Act"). This act was implemented due to the fact that the harmful effects of secondhand smoke caused by indoor smoking are simply too great to ignore.

Barclay Plaza North Owners Inc. has been, and will continue to be, in compliance with the Act and be smoke-free in all enclosed areas except within a shareholder's actual dwelling unit and all common outdoor areas except as below. This means that there will be no carrying or use of a lit tobacco product, including ecigarettes, hookahs and vaporizers (meaning any electronic device that provides a vapor of liquid nicotine and/or other substances to the user as he or she simulates smoking), in any indoor common spaces including but not limited to, porches, vestibules, laundry rooms, garages/parking lots, playgrounds and as may otherwise be prohibited by law. In addition, smoking shall be forbidden on any terraces within the apartments or within 100 feet of any entrance to the building that make up the cooperative.

- The Co-Op's smoking policy always has been, and will continue to be, applicable to all shareholder-tenants, subtenants, invitees of tenants, guests, and any other person on the premises including contractors, maintenance personnel and staff.
- In accordance with Local Law 147, in the event a shareholder shall sublease his/her unit, the shareholder must incorporate this smoking policy into any sublease. Any shareholder selling the shares appurtenant to their unit must incorporate this smoking policy into the contract of sale. The Co-Op notes that Local Law 147 provides for civil penalties levied by the Board of Health in the event these required disclosures are not complied with; specifically, Local Law 147 provides for civil penalties in the event of any violation as follows: First violation: \$200 to \$400; Second violation, if within 12-months of first violation: \$500 to \$1000; Third or subsequent violation, within 12-month period: \$1000 to \$2000
- The Board will be amending the Co-Op's House Rules to incorporate the Co-Op's smoking policy set forth above in accordance with local Law 147 and will distribute to all Shareholders a copy of the Amended House Rules as soon as same are available.

Thank you for your anticipated cooperation and compliance with the Co-Op's Local Law 147 smoking policy and NYC 2002 Smoke Free Air Act.

Very truly yours, Board of Directors



CORPORATE OFFICE 7001 BRUSH HOLLOW ROAD SUITE 200 WESTBURY, NY 11590 TEL: (516) 876-4800 FAX: (516) 876-6812

SUITE 2028 NEW YORK, NY 10017 TEL: (212) 376-5508

EMAIL: INFO@KALED.COM

ASSET MANAGEMENT

757 THIRD AVENUE

May 21, 2019

WWW.KALED.COM

All Shareholders of Barclay Plaza North Owners Inc. 110-11 72<sup>nd</sup> Ave. Forest Hills, NY 11375

Dear Shareholder(s):

The Barclay Plaza North Owners Inc. Board of Directors has voted to amend the House Rules and make homeowners/coop insurance (property and liability coverage) mandatory.

A Shareholder's ownership of the apartment extends from the exposed area of the walls into your apartment including fixtures, cabinets and interior of cabinets, interior of closets, reachable plumbing and radiators. Your cooperative homeowner's insurance policy should cover all personal items as the cooperative does not at any time cover contents and/or personal property of any/all apartments.

Proof of your homeowner's insurance must be submitted to Michael Ravner no later than July 1, 2019:

Mail: Kaled Management Corp.

Attn: Michael Ravner 7001 Brush Hollow Road

Westbury, NY 11590

Email: michaelr@kaled.com

Fax: 516-780-8332

Any/all Shareholder(s) who do not submit a copy of their insurance will be considered in breach of the newly amended House Rules. Shareholders not in accordance with this House Rule will be fined \$50.00 per month until proof of insurance is submitted.

If you have any questions and/or concerns regarding this please do not hesitate to contact me.

Very truly yours,

Michael Ravner Property Manager



## **NEW YORK CITY FIRE DEPARTMENT**

2022-2023 Fire and Emergency Preparedness Bulletin For New York City Apartment Buildings

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## **APARTMENT BUILDING FIRE SAFETY**

E-Bike Fire Safety
(Fire Safety Hazards Associated with Powered Mobility Devices)



There have been over 140 e-bike and other lithium-ion structural fires in New York City in the first  $10\frac{1}{2}$  months of 2022 alone. Six persons died and 140 persons were injured in these fires. Apartments have been severely damaged.

WHAT YOU NEED TO KNOW ABOUT E-BIKE FIRE SAFETY (SEE NEXT PAGE)

E-bikes, scooters, hoverboards and other mobility devices powered by lithium-ion batteries have become popular. Many people store and charge them in their apartments. However, the devices' lithium-ion batteries and chargers present serious fire safety hazards.

# Immediately stop charging your e-bike and call 911 if you notice:

- Fire or Smoke
- Battery overheating
- Change in battery shape or color

- Battery leaking
- Strange battery smell
- Battery making odd noises

### Powered Mobility Device Fire Safety

BUY only e-bikes or other mobility devices that are CERTIFIED by nationally recognized testing laboratory. Look for symbols such as UL, ETL and CSA.

 WHY? The laboratories test these products to make sure they meet industry standards and are safe to operate under normal circumstances.

USE the original battery, power adapter and power cord supplied with the device, or a manufacturer-recommended and/or a testing laboratory-certified replacement.

- o NEVER use unapproved batteries/chargers, even if they are much less expensive.
- WHY? Unapproved batteries or chargers may not be designed to work with an e-bike or e-bike battery.
- o RESULT: An unapproved battery may overcharge, overheat and catch on fire.

PLUG the e-bike directly into an electrical wall outlet when charging.

- o NEVER charge an e-bike or e-bike battery with an extension cord or power strip.
- WHY? Lithium-ion battery charging requires a lot of electrical current, more than most extension cords and power strips can handle.
- o RESULT: The extension cord or power cord can overheat and cause a fire.

CHARGE your e-bike or other device in a safe facility, not in your apartment, if possible. Ask your building or employer if they can provide a safe charging and storage facility.

- WHY? Lithium-ion batteries store a lot of energy and when they overheat they release intense energy. Most apartments are unsprinklered and many furnishings and household items are highly combustible.
- o RESULT: A fire in your apartment can be devastating.

MAKE SURE you have a way out of the apartment in the event of fire!

 NEVER charge your e-bike next to the apartment entrance door or any other place where it could prevent your escape.

MONITOR your e-bike or e-bike battery when it is being charged.

- o READ the manufacturer's charging and storage instructions and follow them.
- o NEVER charge the battery overnight or when you are not in the apartment.
- NEVER charge an e-bike or e-bike battery on or near your bed or couch, or close to drapes, papers or other combustible materials.

## NOTICE TO TENANT OF APPLICABILITY OR INAPPLICABILITY OF THE NEW YORK STATE GOOD CAUSE EVICTION LAW

This notice from your landlord serves to inform you of whether or not your unit/apartment/home is covered by the New York State Good Cause Eviction Law (Article 6-A of the Real Property Law) and, if applicable, the reason permitted under the New York State Good Cause Eviction Law that your landlord is not renewing your lease. Even if your apartment is not protected by Article 6-A, known as the New York State Good Cause Eviction Law, you may have other rights under other local, state, or federal laws and regulations concerning rents and evictions. This notice, which your landlord is required to fill out and give to you, does not constitute legal advice. You may wish to consult a lawyer if you have any questions about your rights under the New York State Good Cause Eviction Law or about this notice.

The sending of this notice does not vitlate any prior litigation notices or pleading served upon you, nor does the sending of this notice serve to revive or reinstate any previously terminated tenancy. The word "tenant" as recited in the notice is solely for identification purposes and not a statement of legal status. No admissions or concessions of an owner right or remedy may be construed from the text or sending of this notice.

NOTICE (THIS SHOULD BE FILLED OUT BY YOUR LANDLORD)

**UNIT INFORMATION** 

;	SIREET:
h	INIT OR APARTMENT NUMBER:
F	STY/TOWN/VILLAGE:
-	TATE:
2	IP CODE:
1.	IS THIS UNIT SUBJECT TO ARTICLE 6-A OF THE REAL PROPERTY LAW, KNOWN AS THE NEW YORK STATE GOOD CAUSE EVICTION LAW? (PLEASE MARK APPLICABLE ANSWER)
	□YES © NO
2	IE THE HAIT IS EVENDT FROM ARTIST S.A. OF THE STATE OF TH

- IF THE UNIT IS EXEMPT FROM ARTICLE 6-A OF THE REAL PROPERTY LAW, KNOWN AS THE NEW YORK STATE GOOD CAUSE EVICTION LAW, WHY IS IT EXEMPT FROM THAT LAW? (PLEASE MARK ALL APPLICABLE EXEMPTIONS)
  - \_\_\_\_A. Village/Town/City outside of New York City has not adopted good cause eviction under section 213 of the Real Property Law;
  - \_\_\_\_B. Unit is owned by a "small landlord," as defined in subdivision 3 of section 211 of the Real Property Law, who owns no more than 10 units for small landlords located in New York City or the number of units established as the maximum amount a "small landlord" can own in the state by a local law of a village, town, or city, other than New York City, adopting the provisions of Article 6-A of the Real Property Law, known as the New York State Good Cause Eviction Law, or no more than 10 units, as applicable. In connection with any eviction proceeding in which the landlord claims an exemption from the provisions of Article 6-A of the Real Property Law, known as the New York State Good Cause Eviction Law, on the basis of being a small landlord, the landlord shall provide to the tenant or tenants subject to the proceeding the name of each natural person who owns or is a beneficial owner of, directly or indirectly, in whole or in part, the housing accommodation at issue in the proceeding, the number of units owned, jointly or separately, by each such natural person owner, and the addresses of any such units, excluding each natural person owner's principal residence. If the landlord is an entity, organized under the laws of this state or of any other jurisdiction, then such landlord shall provide to the tenant or tenants subject to the proceeding the name of each natural person with a direct or indirect ownership interest in such entity or any affiliated entity, the number of units owned, jointly or separately, by each such natural person owner, and the addresses of any such units, excluding each natural person owner's principal residence (exemption under subdivision 1 of section 214 of the Real Property Law);
  - \_\_\_C. Unit is located in an owner-occupied housing accommodation with no more than 10 units (exemption under subdivision 2 of section 214 of the Real Property Law);
  - \_\_\_D. Unit is subject to regulation of rents or evictions pursuant to local, state, or federal law (exemption under subdivision 5 of section 214 of the Real Property Law);
  - E. Unit must be affordable to tenants at a specific income level pursuant to statute, regulation, restrictive declaration, or pursuant to a regulatory agreement with a local, state, or federal government entity(exemption under subdivision 6 of section 214 of the Real Property Law);
  - <u>x</u> F. Unit is on or within a housing accommodation owned as a condominium or cooperative, or unit is on or within a housing accommodation subject to an offering plan submitted to the office of the attorney general (exemption under subdivision 7 of section 214 of the Real Property Law);
  - \_\_\_\_G. Unit is in a housing accommodation that was issued a temporary or permanent certificate of occupancy within the past 30 years (only if building received the certificate on or after January 1st, 2009) (exemption under subdivision 8 of section 214 of the Real Property Law);
  - \_\_\_H. Unit is a seasonal use dwelling unit under subdivisions 4 and 5 of section 7-108 of the General Obligations Law (exemption under subdivision 9 of section 214 of the Real Property Law);
  - \_\_\_\_!. Unit is in a hospital as defined in subdivision 1 of section 2801 of the Public Health Law, continuing care retirement community licensed pursuant to Article 46 or 46-A of the Public Health Law, assisted living residence licensed pursuant to Article 46-B of the Public Health Law, adult care facility licensed pursuant to Article 7 of the Social Services Law, senior residential community that has submitted an offering plan to the attorney general, or not-for-profit independent

retirement community that offers personal emergency response, housekeeping, transportation and meals to their residents (exemption under subdivision 10 of section 214 of the Real Property Law);

- \_\_\_\_J. Unit is a manufactured home located on or in a manufactured home park as defined in section 233 of the Real Property Law (exemption under subdivision 11 of section 214 of the Real Property Law);
- \_\_\_K. Unit is a hotel room or other transient use covered by the definition of a class B multiple dwelling under subdivision 9 of section 4 of the Multiple Dwelling Law (exemption under subdivision 12 of section 214 of the Real Property Law);
- \_\_\_L. Unit is a dormitory owned and operated by an institution of higher education or a school (exemption under subdivision 13 of section 214 of the Real Property Law);
- \_\_\_\_M. Unit is within and for use by a religious facility or institution (exemption under subdivision 14 of section 214 of the Real Property Law);
- \_\_\_\_N. Unit has a monthly rent that is greater than the percent of fair market rent established in a local law of a village, town, or city, other than New York City, adopting the provisions of Article 6-A of the Real Property Law, known as the New York Good Cause Eviction Law, or 245 percent of the fair market rent, as applicable. Fair market rent refers to the figure published by the United States Department of Housing and Urban Development, for the county in which the housing accommodation is located, as shall be published by the Division of Housing and Community Renewal no later than August 1st in any given year. The Division of Housing and Community Renewal shall publish the fair market rent and 245 percent of the fair market rent for each unit type for which such fair market rent is published by the United States Department of Housing and Urban Development for each county in New York State in the annual publication required pursuant to subdivision 7 of section 211 of the Real Property Law (exemption under subdivision 15 of section 214 of the Real Property Law);
- 3. IF THIS UNIT IS SUBJECT TO ARTICLE 6-A OF THE REAL PROPERTY LAW, KNOWN AS THE NEW YORK STATE GOOD CAUSE EVICTION LAW, AND THIS NOTICE SERVES TO INFORM A TENANT THAT THE LANDLORD IS INCREASING THE RENT ABOVE THE THRESHOLD FOR PRESUMPTIVELY UNREASONABLE RENT INCREASES, WHAT IS THE LANDLORD'S JUSTIFICATION FOR INCREASING THE RENT ABOVE THE THRESHOLD FOR PRESUMPTIVELY UNREASONABLE RENT INCREASES? (A rent increase is presumptively unreasonable if the increase from the prior rent is greater than the lower of: (a) 5 percent plus the annual percentage change in the consumer price index for all urban consumers for all items as published by the United States Bureau of Labor Statistics for the region in which the housing accommodation is located, as published not later than August 1st of each year by the Division of Housing and Community Renewal; or(b) 10 percent.)

#### (PLEASE MARK AND FILL OUT THE APPLICABLE RESPONSE)

- \_\_\_A. The rent is not being increased above the threshold for presumptively unreasonable rent increases described above:
- \_\_B. The rent is being increased above the threshold for presumptively unreasonable rent increases described above:
- \_\_\_\_B-1: If the rent is being increased above the threshold for presumptively unreasonable rent increases described above, what is the justification for the increase:
- 4. IF THIS UNIT IS SUBJECT TO ARTICLE 6-A OF THE REAL PROPERTY LAW, KNOWN AS THE NEW YORK STATE GOOD CAUSE EVICTION LAW, AND THIS NOTICE SERVES TO INFORM A TENANT THAT THE LANDLORD IS NOT RENEWING A LEASE, WHAT IS THE GOOD CAUSE FOR NOT RENEWING THE LEASE? (PLEASE MARK ALL APPLICABLE REASONS)
  - \_\_\_A. This unit is exempt from Article 6-A of the Real Property Law, known as the New York State Good Cause Eviction Law, for the reasons stated in response to question 2, above (IF THIS ANSWER IS CHECKED, NO OTHER ANSWERS TO THIS QUESTION SHOULD BE CHECKED):
  - B. The tenant is receiving this notice in connection with a first lease or a renewal lease, so the landlord does not need to check any of the lawful reasons listed below for not renewing a lease under Article 6-A of the Real Property Law, known as the New York State Good Cause Eviction Law (IF THIS ANSWER IS CHECKED, NO OTHER ANSWERS TO THIS QUESTION SHOULD BE CHECKED):
  - \_\_\_C. The landlord is not renewing the lease because the unit is sublet and the sublessor seeks in good faith to recover possession of the unit for their own personal use and occupancy (exemption under subdivision 3 of section 214 of the Real Property Law):
  - \_\_\_\_D. The landlord is not renewing the lease because the possession, use or occupancy of the unit is solely incident to employment and the employment is being or has been lawfully terminated (exemption under subdivision 4 of section 214 of the Real Property Law):
  - E. The landlord is not renewing the lease because the tenant has failed to pay rent due and owing, and the rent due or owing, or any part there- of, did not result from a rent increase which is unreasonable. A rent increase is presumptively unreasonable if the increase from the prior rent is greater than the lower of: (a) 5 percent plus the annual percentage change in the consumer price index for all urban consumers for all items as published by the United States Bureau of Labor Statistics for the region in which the housing accommodation is located, as published not later than August 1st of each year by the Division of Housing and Community Renewal; or (b) 10 percent (good cause for eviction under paragraph a of subdivision 1 of section 216 of the Real Property Law):
  - F. The landlord is not renewing the lease because the tenant is violating a substantial obligation of their tenancy or breaching any of the landlord's rules and regulations governing the premises, other than the obligation to surrender possession of the premises, and the tenant has failed to cure the violation after written notice that the violation must cease within 10 days of receipt of the written notice. For this good cause to apply, the obligation the tenant violated cannot be an obligation that was imposed for the purpose of circumventing the intent of Article 6-A of the Real Property Law, known as the New York State Good Cause Eviction Law. The landlord's rules or regulations that the tenant has violated also must be reasonable and have been accepted in writing by the tenant or made a part of the lease at the beginning of the lease term (good cause for eviction under paragraph b of subdivision 1 of section 216 of the Real Property Law):

the unit or the premises; (b) maliciously or grossly negligently causing substantial damage to the unit or the premises; (c) interfering with the landlord's, another tenant's, or occupants of the same or an adjacent building or structure's comfort and safety (good cause for eviction under paragraph c of subdivision 1 of section 216 of the Real Property Law):
H. The landlord is not renewing the lease because the tenant's occupancy of the unit violates law and the landlord is subject to civil or criminal penalties for continuing to let the tenant occupy the unit. For this good cause to apply, a state of municipal agency having jurisdiction must have issued an order requiring the tenant to vacate the unit. No tenant shall be removed from possession of a unit on this basis unless the court finds that the cure of the violation of law requires the removal of the tenant and that the landlord did not, through neglect or deliberate action or failure to act, create the condition necessitating the vacate order. If the landlord does not try to cure the conditions causing the violation of the law, the tenant has the right to pay or secure payment, in a manner satisfactory to the court, to cure the violation. Any tenant expenditures to cure the violation shall be applied against rent owed to the landlord. Even if removal of a tenant is absolutely essential to the tenant's health and safety, the tenant shall be entitled to resume possession at such time as the dangerous conditions have been removed. The tenant also retains the right to bring an action for monetary damages against the landlord or to otherwise compel the landlord to comply with all applicable state or municipal housing codes (good cause for eviction under paragraph d of subdivision 1 of section 216 of the Real Property Law):
I. The landlord is not renewing the lease because the tenant is using or permitting the unit or premises to be use for an illegal purpose (good cause for eviction under paragraph e of subdivision 1 of section 216 of the Real Property Law):
J. The landlord is not renewing the lease because the tenant has unreasonably refused the landlord access to the unit for the purposes of making necessary repairs or improvements required by law or for the purposes of showing the premises to a prospective purchaser, mortgagee, or other person with a legitimate interest in the premises (good cause for eviction under paragraph f of subdivision 1 of section 216 of the Real Property Law):
K. The landlord is not renewing the lease because the landlord seeks in good faith to recover possession of the unit for the landlord's personal use and occupancy as the landlord's principal residence, or for the personal use and occupancy as a principal residence by the landlord's spouse, domestic partner, child, stepchild, parent,step-parent,sibling, grandparent, grandchild, parent-in-law, or sibling-in-law. The landlord can only recover the unit for these purposes if there is no other suitable housing accommodation in the building that is available. Under no circumstances can the landlord recover the unit for these purposes if the tenant is (a) 65 years old or older; or (b) a "disabled person" as defined in subdivision 6 of section 211 of the Real Property Law. To establish this good cause in an eviction proceeding, the landlord must establish good faith to recover possession of a housing accommodation for the uses described herein by clear and convincing evidence(good cause for eviction under paragraph g of subdivision 1 of section 216 of the Real Property Law);
L. The landlord is not renewing the lease because the landlord in good faith seeks to demolish the housing accommodation. To establish this good cause in an eviction proceeding, the landlord must establish good faith to demolish the housing accommodation by clear and convincing evidence (good cause for eviction under paragraph h of subdivision 1 of section 216 of the Real Property Law):
M. The landlord is not renewing the lease because the landlord seeks in good faith to withdraw the unit from the housing rental market. To establish this good cause in an eviction proceeding, the landlord must establish good faith to withdraw the unit from the rental housing market by clear and convincing evidence (good cause for eviction under paragraph i of subdivision 1 of section 216 of the Real Property Law):
N. The landlord is not renewing the lease because the tenant has failed to agree to reasonable changes at lease renewal, including reasonable increases in rent, and the landlord gave written notice of the changes to the lease to the tenant at least 30 days, but no more than 90 days, before the current lease expired. A rent increase is presumptively unreasonable if the increase from the prior rent is greater than the lower of: (a) 5 percent plus the annual percentage change in the consumer price index for all urban consumers for all items as published by the United States Bureau of Labor Statistics for the region in which the housing accommodation is located, as published by August 1st of each year by the Division of Housing and Community Renewal; or (b) 10 percent(good cause for eviction under paragraph j of subdivision 1 of section 216 of the Real Property Law):
I acknowledge receipt of the Good Cause Eviction Law Notice
Tenant Date
Tenant Date